PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#

Filing Date:

28 June 2001

Applicant:

SATO, Junichi, et al.

Title:

INFORMATION TRANSMISSION METHOD

Attorney Docket:

5077-000050

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. <u>COPIES</u>

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. ___ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. X This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on





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any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))

III.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)			
	A Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).			
	B A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):			
	 See the attached foreign search report. English translations are provided for: Other: 			
	C The following additional information is provided for the Examiner's consideration.			
IV.	CROSS REFERENCE TO RELATED APPLICATION(S)			
	A The Examiner is advised that the following co-pending application(s contain(s) subject matter that may be related to the present application. B bringing this(these) application(s) to the Examiner's attention, Applicant(s does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.			
	Serial No. Filing Date Art Unit			
V.	THIS IDS IS BEING FILED UNDER			
	A 37 C.F.R. § 1.97(b): (check <u>only</u> one box)			
	1 within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.			
	2. X within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.			

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1. Oʻ ur 1. ad	before the mailing of a first Office Action on the merits (37 C.F.R. § .97(b)(3)). No fee or certification is required. In the event that a first office Action on the merits has been issued, please consider this IDS and the certification under 37 C.F.R. § .97(e) below; or, if no certification has been made, charge our deposit a fee in the amount of \$180.00 as required by 37 C.F.R. § .17(p).
fo	before the mailing of a first Office Action after the filing of a request or continued examination under 37 C.F.R. § 1.114. No fee or certification required.
B 3	37 C.F.R. § 1.97(c): (check only one box)
§	before the mailing date of either any Final Office Action under 37 C.F.R. 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that therwise closes prosecution.
	No certification; therefore, a fee in the amount of \$180.00 is equired by 37 C.F.R. § 1.17(p).
2.	See the certification below. No fee is required.
C 3	37 C.F.R. § 1.97(d):
1.	after the mailing date of either a Final Office Action under 37 C.F.R. § .113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before ayment of the issue fee.
	See the certification below. A fee in the amount of \$180.00 is equired by 37 C.F.R. § 1.17(p).
<u>CERTIFI</u>	ICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
The unde	ersigned hereby certifies that:
commun	each item of information contained in this IDS was first cited in a lication from a foreign patent office in a counterpart foreign application e than three months prior to the filing of this IDS (See 37 C.F.R. § 1)); or
from a f	no item of information contained in this IDS was cited in a communication foreign patent office in a counterpart foreign application, and, to the ge of the undersigned after making reasonable inquiry, no item of ion contained in this IDS was known to any individual designated in 37

VI.





C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. ___ Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. PAYMENT OF FEES (check only one box)

A. ____ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. ____ Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

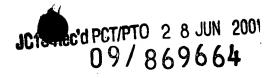
Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: June 28, 2001

Gregory & Stobbs Reg. No. 28,764

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600



FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

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Sheet 1 of 2

ATTORNEY DOCKET NO.	SERIAL NO.	
5077-000050		
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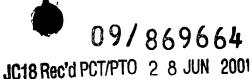
U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		5,784,358	07/21/1998	Smith, et al.		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.		2000-293424	10/29/2000	JP			
2.		10-49422	02/20/1998	JP			
3.		11-15714	01/22/1999	JP			
4.		10-164533	06/19/1998	JP			
5.		1021015	12/29/1999	EP			
6.		10-285460	10/23/1998	JP			_
7.		895374	03/12/1998	EP			
8.		7-248980	09/26/1995	JP			
9.		11-219312	08/10/1999	JP			
10.		10-257455	09/25/1998	JP			
11.		99/56438	11/04/1999	PCT			
12.		6-301887	10/28/1994	JP			
13.		10-,133839	05/22/1998	JP			ļ
14.		06069921	03/11/1994	JP		Abstract	ļ
15.		07066807	03/10/1995	JP		Abstract	ļ
16.		11234333	08/27/1999	JP		Abstract	

Examiner:	
I Exammet.	

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Sheet 2 of 2

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APPLICANT		
SATO, et al.		
FILING DATE	GROUP	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)				
Ref. Desig.	Examiner's Initials			
1.		Matsushita Technical Journal, Vol 44, No. 5, 18 October 1998 (18.10.98), Takashi ISHIDA et al., 'Video Proxy Server: "Video Shower" for a Wide-Area Network', pp. 98-105		
2.		S. Floyd et al., "Link-sharing and REsource Management Models for Packet Networks", IEEE/ACM Trans. On Networking, Vol. 3, No. 4, pp. 365-386, Aug. 1999		
3.	,	L. Rizzo et al., "Replacement Policies for a Proxy Cache", IEEE/ACM Trans. On Networking, Vol 8, No. 2, pp. 158-170, Apr. 2000		
4.		S. Keshav, "An Engineering Approach to Computer Networking", pp. 238-248, Addison Wesley, 1997		
5.		S. Blake et al., "An Architecture for Differentiated Services", RFC 2475, Internet Engineering Taskforce, Dec. 1998		
6.		R. Fielding et al., "Hypertext Transfer Protocol HTTP/1.1", RFC 2616, Internet Engineering Taskforce, Jun. 1999		
7.		H. Schulzrinne et al., "RTP: A Transport Protocol for Real-Time Applications", RFC 1889, Internet Engineering Taskforce, Jan. 1996		

Examiner:	Date Considered:	